Accurate Spike Synchronization in Cortex*

Stefan Rotter and Ad Aertsen

Institut für Biologie III – Neurobiologie & Biophysik, Universität Freiburg, D-79104 Freiburg, Germany

Z. Naturforsch. 53 c, 686-690 (1998); received April 14, 1998

Cortical Network, Cell Assemblies, Multi-Neuron Recordings, Neural Network Models, Synfire Chains

In view of the enormous capacity and complexity of mammalian brains it is evident that a detailed account of their anatomy and physiology alone cannot lead to a complete understanding of their function. Computer simulation and mathematical analysis of abstract, yet biologically realistic models for neurons and networks yield additional and useful information about the interplay of the underlying anatomical structures, the physiological processes operating on the neuronal substrate, and the resulting brain functions during the performance of behavioral tasks. We discuss some contributions of such experiment-guided theory to the issue of accurate spike synchronization.

Introduction

The dynamic organization of neuronal activity is considered more and more important for an understanding of higher brain functions. The precise orchestration of all brain components is an essential prerequiste for a sensible interaction of perception and behavior with the environment. According to the classical view, firing rates play a central role in neuronal coding (Barlow, 1972; 1992). The firing rate approach indeed led to fundamental insights into the neuronal mechanisms of brain function (Georgopoulos *et al.*, 1993; Hubel and Wiesel, 1977; Newsome *et al.*, 1989).

In parallel, however, a different concept was developed, according to which the temporal organization of spike discharges within functional groups of neurons, the so-called neuronal assemblies (Hebb, 1949), also contribute to neural coding (Abeles, 1982a; 1991; Gerstein *et al.*, 1989; Palm, 1990; Singer 1993; von der Malsburg, 1981). It was argued that the biophysics of synaptic integration favors coincident presynaptic events over asynchronous events (Abeles, 1982b; Softky and

First important hints towards the importance of accurate spike patterns came from Abeles *et al.* (1993a; b). They could demonstrate that multiple single neuron recordings from the frontal cortex of awake behaving monkeys contain an abundance of precise spike patterns. These patterns had a total duration of up to several hundred milliseconds and were repeated with a precision of ± 1 ms. Moreover, they occurred in systematic relation to sensory stimuli and behavioral events. Similarly, significant spike coincidences have been reported from recordings in the motor cortex of monkeys (Riehle *et al.*, 1997). The clear correlation of the

Reprint requests to Dr. S. Rotter. Fax: (0761) 2032860.

E-mail: rotter@biologie.uni-freiburg.de

Koch, 1993). Accordingly, synchronized spikes are considered as a property of neuronal signals which can indeed be detected and propagated by other neurons. In addition, these spike correlations must be expected to be dynamic, reflecting varying affiliations of the neurons depending on the stimulus or behavioral context. Such dynamic modulations of spike correlation at various levels of precision have in fact been observed in different cortical areas, namely visual (Aertsen and Arndt, 1993; Eckhorn et al., 1988; Gray and Singer, 1989; Roelfsema et al., 1996; Singer and Gray, 1995), auditory (Ahissar et al., 1992; de Charms and Merzenich, 1995; Eggermont, 1994; Sakurai, 1996), somatosensory (Nicolelis et al., 1995), motor (Murthy and Fetz, 1992; Sanes and Donoghue, 1993), and frontal (Abeles et al., 1993b; Vaadia et al., 1995). Little is known, however, about the functional role of temporal organisation in such signals.

^{*} This communication is a contribution to the workshop on "Natural Organisms, Artificial Organisms, and Their Brains" at the Zentrum für interdisziplinäre Forschung (ZiF) in Bielefeld (Germany) on March 8-12, 1998.

spike coincidences with behavioral events again underlines their functional relevance (Fetz, 1997).

Pulse Packets and Synfire Chains

We attempted to link known properties of cortical architecture and physiology to emergent properties of activity dynamics, such as synchronization of action potentials. This can be achieved by a combination of computer simulation and mathematical analysis. In an ongoing modeling study we explored the mechanisms underlying the rapid synchronizations of cortical spiking activity, which have been observed in simultaneous multiple single-neuron recordings. Specifically, we focused on an explanation for the excessive occurrences of highly accurate spike patterns.

On the basis of the characteristic anatomy and physiology of the cortex, Abeles (1991) proposed that "synfire" activity propagating in volleys through diverging-converging chains of neurons could present a natural explanation for this phenomenon. We have investigated the conditions under which such synchronous volleys of action potentials can propagate reliably through the cortical network. We chose a theoretical approach combining extended network simulations and mathematical analysis (Diesmann *et al.*, 1995).

Existing approaches to synaptic transmission usually consider either of two extreme cases: full synchrony or random arrival of presynaptic spikes. The effect of intermediate temporal dispersion has hitherto not been systematically addressed. To quantify the degree of temporal synchrony in propagating volleys of spike activity we introduced the concept of "pulse packets" (Diesmann *et al.*, 1996). A pulse packet is characterized by two parameters, its strength (the total number of spikes belonging to the volley) and its width (the standard deviation of the volley). A further important parameter is the background activity, which comprises spontaneous spikes impinging on the neuron apart from synchronous spike volleys.

Stable Propagation of Synchronous Spiking Activity

We studied the response of a single integrateand-fire model neuron upon inputs with varying degrees of synchrony. To this end, we recorded the PST-histogram of responses to repeated presentation of pulse packets of given strength and width to the neuron. Assuming that all neurons in one particular group of the chain behave in a similar way, the appropriately normalized PSTH can again be interpreted as representing outgoing pulse packets, the strength and width of which can easily be determined. The resulting two-dimensional transfer function compactly describes the relation between incoming and outgoing pulse packets. In contrast to alternative approaches, where the neuron's firing probability is measured quasi-statically as a function of DC input, this new transmission function takes full account of the dynamic properties of the input distribution (Aertsen et al., 1996).

The fate of a pulse packet on its travel through the chain can be traced by iterating the transfer function. Keeping the width of the chain fixed at

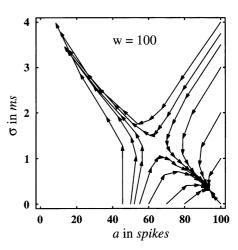


Fig. 1. Computer simulation of the synchronization dynamics in a "synfire chain". The figure displays the fates of various pulse packets on their way travelling through a diverging/converging feed-forward network of neurons. The pulse packets are parametrized by the number of spikes a contributing to the packet, and by the width σ of the packet. Each arrow corresponds to one processing step in the chain. Any pulse packet from the lower right segment of the state space evolves toward a very narrow pulse packet with invariant shape within a few processing steps. It is then stably propagated through the chain, in a self-repairing manner. Pulse packets from the other half of the state space will eventually die off. The width of the groups in the chain w is adapted to the amplitude of postsynaptic potentials and to the neurons' firing thresholds. If the chain width is too small, or if the EPSPs are too small relative to the firing threshold, the two fixed points of the corresponding iterative dynamics merge. In this case, no stable transmission is possible any more.

a value in the order of 100, the dynamics of the two-dimensional iterated system is characterized by one attractive fixed point and one saddle point (Fig. 1). Accordingly, the state space has two separate domains. Within one of these domains, there is stable propagation of pulse packets towards a very narrow packet of invariant shape. The parameters of this fixed point are independent of the initial pulse packet, if the latter is only taken from a certain range of parameters. For all other initial configurations, the result is eventual extinction of activity (Diesmann et al., 1997). By contrast, for a too small number of neurons per group, both fixed points dissappear and all trajectories lead to extinction. Synchronous spiking then is no longer a viable option for the chain. Important aspects of this synchronisation dynamics can be dissected and understood with the help of an analytical model (Gewaltig et al., 1997).

Assuming realistic values for the architectural and physiological parameters, our model predicts that the cortical network is able to sustain stable propagation of synchronous spike volleys consisting of spikes from 50-100 neurons with a temporal precision of about 1 ms. Preliminary evidence from additional computer simulations suggests that this synchronisation dynamics is strongly influenced by the activity climate in the surrounding network. In particular, the robustness and the propagation velocity of the synchronous spike volleys exhibited a non-monotonic dependence on the level of the background activity. This result has interesting consequences in view of recent findings regarding the relation between ongoing network activity and the variability of evoked responses, both in cortical activity and in behavioral responses (Arieli et al., 1996a; b).

Spatio-Temporal Spike Patterns

We also studied the spatio-temporal dynamics of spiking activity in cortical networks with recurrent synaptic architecture. We used a network model, which is based on interacting stochastic point processes (Rotter 1994; 1995). Such systems can be formally described in terms of a Markov process, the dynamic state of which at a given point in time is the spatio-temporal pattern of previously generated spikes. The transition probabilities specify how the pattern gradually evolves in time. A

generalized type of integrate-and-fire dynamics thereby emerges as a mathematical consequence of the assumption that neurons communicate by action potentials. Assuming the existence of infinitesimal spike probabilities, which is in fact a very mild condition for physical systems, the corresponding dynamic equations are completely solved.

The solutions for special cases have been used to identify some important model parameters from electrophysiological recordings of real neurons. A simple parametric characterization of single neuron function is in fact achieved by fitting the model to the discharge behavior of various types of cortical pyramidal cells. Some fundamental properties of recurrent cortex-like networks assembled from such neurons can be readily predicted, most notably their ability to maintain stable low rates of activity without the help of inhibitory neurons (Rotter and Aertsen, 1997). Furthermore, computer simulations of randomtopology, but otherwise realistic cortical networks indicate that high precision spatio-temporal patterns, embedded in periods of enhanced cooperative group activity, may play a role for coding and computation in such networks. This is true, even if neither the anatomy of the network nor the physiology of its neurons are in any sense specifically designed for that purpose. Plasticity of the temporal structure of such patterns is achieved by introducing Hebb-like synaptic plasticity into the network.

The Dynamic Repertory of Neuronal Processes

The "functional repertory" of a dynamic system can be understood as the set of non-equivalent, that is, functionally indistinguishable behaviors, which it is able generate and maintain. Generally, the two determinants for the dynamic repertory of a system of interacting point processes are its dictionary, that is, the set of reachable spatio-temporal patterns, and its syntax, that is, the collection of rules governing the evolution of patterns. Clearly, both a more voluminous dictionary and a more flexible syntax increase the number of words at the system's disposal.

Among the many properties of the cortical network, which contribute to its rich dynamic repertory, we emphasize the sheer number of neurons, and the complexity of the network's organization as far as the processing of signals is concerned. The latter is reflected, among other things, by the degree of synaptic divergence and convergence, and by the degree of plasticity of the anatomical substrate during development. Another obvious source of dynamic diversity are cell-cell interactions, where extra complexity is added by postsynaptic currents with different temporal characteristics, as well as by spike-induced modulatory currents. Yet another aspect, which is truely at the heart of the stochastic model, is the precision in the control of timing as determined by the relationship between the strength of the inputs and the stochastic properties of their integration. It is likely that certain aspects of dendritic geometry and of the distribution of ion channels on the cell's soma and dendrite play a role in this context (Bernander et al., 1993; Clay et al., 1983). Finally, we mention the non-stationary aspects of interactions among cells, the local or non-local characteristics of synaptic plasticity, and the time constants of the cellular integration mechanisms (Markram and Tsodyks, 1996; Markram et al., 1997). No doubt that all these parameters greatly affect the capacities of a neuronal network as an abstract language analyser and generator.

In principle, all factors which contribute to the dynamic repertory of the neuronal process play a

potential role for the evolution of cortical structure and function. One general evolutionary strategy could be to increase the number of distinguishable words in the dictionary to choose from, simply to provide for more powerful representations. This can be achieved by an increased number of independently operating mechanisms to elicit action potentials in single cells and mechanisms to synchronize spikes into spatial patterns which involve many cells. A related strategy could be to increase the flexibility and suitability of the syntax to represent relations among objects. This might involve, for instance, plasticity of the interactions between cells by means of synaptic learning rules, which evaluate correlations and similarities among the inputs (von der Malsburg, 1981).

Acknowledgements

This report summarizes results from a number of ongoing collaborations. Contributions by and stimulating discussions with Moshe Abeles, Markus Diesmann, George Gerstein, Marc-Oliver Gewaltig, Sonja Grün and Alexa Riehle are gratefully acknowledged. Supported in part by a grant from the German-Israeli Foundation for Scientific Research and Development (GIF).

- Abeles M. (1982a), Local Cortical Circuits. Springer, Berlin.
- Abeles M. (1982b), The role of the cortical neuron: integrator or coincidence detector. Isr. J. Med. Sci. 18, 83-92.
- Abeles M. (1991), Corticonics: Neural Circuits of the Cerebral Cortex. Cambridge University Press, New York.
- Abeles M., Bergman H., Margalit E. and Vaadia E. (1993a), Spatio-temporal firing patterns in the frontal cortex of behaving monkeys. J. Neurophysiol. **70**, 1629–1643.
- Abeles M., Prut Y., Bergman H., Vaadia E. and Aertsen A. (1993b), Integration, synchronicity and periodicity. In: Brain Theory: Spatio-Temporal Aspects of Brain Function (Aertsen A., ed.). Elsevier, Amsterdam, 149–181.
- Aertsen A., Arndt M. (1993), Response synchronization in the visual cortex. Curr. Op. Neurobiol. 3, 586-594.
- Aertsen A., Diesmann M. and Gewaltig M.-O. (1996), Propagation of synchronous spiking activity in feedforward neural networks. J. Physiol. (Paris) **90**, 243-247.

- Ahissar M., Ahissar E., Bergman H. and Vaadia E. (1992), Encoding of sound-source location and movement: activity of single neurons and interactions between adjacent neurons in the monkey auditory cortex. J. Neurophysiol. **67**, 203–215.
- Arieli A., Sterkin A., Grinvald A. and Aertsen A. (1996a), Dynamics of ongoing activity: explanation of the large variability in evoked cortical responses. Science **273**, 1868–1871.
- Arieli A., Donchin O., Aertsen A., Bergman H., Gribova A., Grinvald A. and Vaadia E. (1996b), The impact of ongoing cortical activity on evoked potentials and behavioral responses in the awake behaving monkey. Soc. Neurosci. Abstracts 22, 2022.
- Barlow H. B. (1972), Single units and sensation: a neuron doctrine for perceptual psychology? Perception 1, 371–394.
- Barlow H. B. (1992), Single cells versus neuronal assemblies. In: Information Processing in the Cortex: Experiments and Theory (Aertsen A. and Braitenberg V. B., eds.). Springer, Berlin, 169–174.

- Bernander Ö., Douglas R. J., Martin K. A. C. and Koch C. (1991), Synaptic background activity influences spatiotemporal integration in single pyramidal cells. Proc. Natl. Acad. Sci. USA 88, 11569–11573.
- Clay J. R. and DeFelice L. J. (1983), Relationship between membrane excitability and single channel openclose kinetics. Biophys. J. **42**, 51–157.
- De Charms R. C. and Merzenich M. M. (1995), Primary cortical representation by the coordination of action potential timing. Nature **381**, 610-613.
- Diesmann M., Gewaltig M.-O. and Aertsen A. (1995), SYNOD: An environment for neural systems simulations. Technical Report GC-AA/95-3, The Weizmann Institute of Science, Rehovot (Israel).
- Diesmann M., Gewaltig M.-O. and Aertsen A. (1996), Characterization of synfire activity by propagating 'pulse packets'. In: Computational Neuroscience – Trends in Research 1995 (Bower J., ed.). Academic Press, San Diego, 59–64.
- Diesmann M., Gewaltig M.-O. and Aertsen A. (1997), Cortical synfire activity – A two-dimensional state space analysis. In: From Membrane to Mind (Elsner N. and Wässle H., eds.). Thieme, Stuttgart, New York, 62.
- Eckhorn R., Bauer R., Jordan W., Brosch M., Kruse W., Munk M. and Reitboeck H. J. (1988), Coherent oscillations: a mechanism of feature linking in the visual cortex? Multiple electrode and correlation analysis in the cat. Biol. Cybern. **60**, 121–130.
- Eggermont J. J. (1994), Neural interaction in cat primary auditory cortex. II. Effects of sound stimulation. J. Neurophysiol. **71**, 246–270.
- Fetz E. (1997), Temporal coding in neural populations? Science **278**, 1901.
- Georgopoulos A. P., Taira M. and Lukashin A. (1993), Cognitive neurophysiology of the motor cortex. Science **260**, 47-52.
- Gerstein G. L., Bedenbaugh P. and Aertsen A. M. H. J. (1989), Neuronal Assemblies. IEEE Trans. Biomed. Engineering **36**, 4–14.
- Gewaltig M.-O., Rotter S., Diesmann M. and Aertsen A. (1997), Cortical synfire activity stability in an analytical model. In: Göttingen Neurobiology Report 1997 (Elsner N. and Wässle H., eds.). Thieme, Stuttgart, New York, 620.
- Gray C. M. and Singer W. (1989), Stimulus-specific neuronal oscillations in orientation columns of cat visual cortex. Proc. Natl. Acad. Sci. USA **86**, 1698–1702.
- Hebb D. O. (1949), Organization of Behavior. Wiley, New York.
- Hubel D. H. and Wiesel T. N. (1977), Functional architecture of macaque monkey visual cortex. Proc. R. Soc. Lond. **198**, 1–59.
- Markram H. and Tsodyks M. (1996), Redistribution of synaptic efficacy between neocortical pyramidal neurons. Nature **382**, 807–810.
- Markram H., Lübke J., Frotscher M. and Sakmann B. (1997), Regulation of Synaptic Efficacy by Coinci-

- dence of Postsynaptic APs and EPSPs. Science 275, 213–215.
- Murthy V. N. and Fetz E. E. (1992), Coherent 25- to 35-Hz oscillations in the sensorimotor cortex of awake behaving monkeys. Proc. Natl. Acad. Sci. USA **89**, 5670-5674.
- Newsome W. T., Britten K. H. and Movshon J. A. (1989), Neuronal correlates of a perceptual decision. Nature 341, 52-54.
- Nicolelis M. A. L., Baccala L. A., Lin R. C. S. and Chapin J. K. (1995), Sensorimotor encoding by synchronous neural ensemble activity at multiple levels of the somatosensory system. Science **268**, 1353–1358.
- Palm G. (1990), Cell assemblies as a guideline for brain research. Concepts Neurosci. 1, 133–147.
- Riehle A., Grün S., Diesmann M. and Aertsen A. (1997), Spike Synchronization and Rate Modulation Differentially Involved in Motor Cortical Function. Science **278**, 1950–1953.
- Roelfsema P. R., Engel A. K., König P. and Singer W. (1996), The role of neuronal synchronization in response selection: a biologically plausible theory of structured representations in the visual cortex. J. Cogn. Neurosci. **8,** 603–625.
- Rotter S. (1994), Wechselwirkende stochastische Punktprozesse als Modell für neuronale Aktivität im Neocortex der Säugetiere. Reihe Physik, Bd. 21. Harri Deutsch, Frankfurt.
- Rotter S. (1996), Biophysical aspects of cortical networks. In: Neurobiology: Ionic Channels, Neurons, and the Brain (Torre V. and Conti F.). 355–369.
- Rotter S. and Aertsen A. (1997), Current balance and neuronal assemblies. In: Göttingen Neurobiology Report 1997 (Elsner N. and Wässle H., eds.). Thieme, Stuttgart, New York, 622.
- Sakurai Y. (1996), Population coding by cell assemblies what it really is in the brain. Neurosci. Res. **26**, 1–16.
- Sanes J. N. and Donoghue J. P. (1993), Oscillations in local field potentials of the primate motor cortex during voluntary movement. Proc. Natl. Acad. Sci. USA **90**, 4470–4474.
- Singer W. (1993), Synchronization of cortical activity and its putative role in informaton processing and learning. Annu. Rev. Physiol. **55**, 349–374.
- Singer W. and Gray C. M. (1995), Visual feature integration and the temporal correlation hypothesis. Annu. Rev. Neurosci. 18, 555-586.
- Softky W. R. and Koch C. (1993), The highly irregular firing of cortical cells is inconsistent with temporal integration of random EPSPs. J. Neurosci. 13, 334–350.
- Vaadia E., Haalman I., Abeles M., Bergman H., Prut Y., Slovin H. and Aertsen A. (1995), Dynamics of neuronal interactions in monkey cortex in relation to behavioral events. Nature 373, 515-518.
 Von der Malsburg C. (1981), The correlation theory of
- Von der Malsburg C. (1981), The correlation theory of brain function. Intern. Rep. 81-2, MPI Biophys. Chem., Göttingen.

A Journal of

Zeitschrift für Naturforschung C

Biosciences

Founded 1946 in the Institutes of the Max-Planck-Gesellschaft

Volume 53 Number 7/8 July/August 1998

Special Issue Natural Organisms, Artificial Organisms, and Their Brains

Proceedings of an International Workshop at the Zentrum für interdisziplinäre Forschung (ZiF) in Bielefeld, Germany March 8–12, 1998

Published in reminiscence of SILKE BERNHARD, the founder of the Dahlem Conferences



Verlag der Zeitschrift für Naturforschung

Tübingen